

11/27/01



J1044 U.S. PTO

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PTO/SB/05 (03-01)
Approved for use through 10/31/2002 OMB 0651-0032

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|--|------------------------|---|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | 01-B-097 |
| | First Inventor | Giovanni Gozzini |
| | Title | IMPROVED SENSING ELEMENT ARRANGEMENT FOR A FINGERPRINT SENSOR |
| | Express Mail Label No. | EL822706976US |

JC972 U.S. PTO

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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 23] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF) |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6] | b. Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper |
| 5. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | c. <input type="checkbox"/> Statements verifying identity of above copies |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | ACCOMPANYING APPLICATION PARTS |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ Prior application information. Examiner _____ Group Art Unit _____ | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney |
| 19. CORRESPONDENCE ADDRESS | 11. <input type="checkbox"/> English Translation Document (if applicable) |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) | 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations |
| Name | 13. <input type="checkbox"/> Preliminary Amendment |
| Address | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| City | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| Country | 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |
| Telephone | 17. <input type="checkbox"/> Other: _____ |
| Zip Code | |
| Fax | |

| | | | |
|-------------------|-----------------------|-----------------------------------|----------|
| Name (Print/Type) | Peter J. Thoma | Registration No. (Attorney/Agent) | 28,121 |
| Signature | <i>Peter J. Thoma</i> | Date | 11/27/01 |

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 780.00

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | To Be Assigned |
| Filing Date | November 27, 2001 |
| First Named Inventor | Giovanni Gozzini |
| Examiner Name | To Be Assigned |
| Group Art Unit | To Be Assigned |
| Attorney Docket No | 01-B-097 |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to
- Deposit Account Number **20-0780/STPP-25,890**
- Deposit Account Name **Howison, Chauza, et al.**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

| 1. BASIC FILING FEE | | | | | | |
|---------------------|--------------|----------|----------|----------|----------|------------------------|
| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
| 101 | 710 | 201 | 355 | | | Utility filing fee |
| 106 | 320 | 206 | 160 | | | Design filing fee |
| 107 | 490 | 207 | 245 | | | Plant filing fee |
| 108 | 710 | 208 | 355 | | | Reissue filing fee |
| 114 | 150 | 214 | 75 | | | Provisional filing fee |
| SUBTOTAL (1) | | | | | | (\$ 740.00 |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | 20 | -20** = 0 | X = .00 |
| Independent Claims | 3 | -3** = 0 | X = .00 |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | | | |
|--------------|----------|--------------|----------|--|--|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | | |

SUBTOTAL (2) (\$.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| 3. ADDITIONAL FEES | | | | | | | | | |
|---------------------------|--------------|----------|----------|----------|----------|--|--|--|-----------|
| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | | | Fee Paid |
| 105 | 130 | 205 | 65 | | | Surcharge - late filing fee or oath | | | |
| 127 | 50 | 227 | 25 | | | Surcharge - late provisional filing fee or cover sheet | | | 0 |
| 139 | 130 | 139 | 130 | | | Non-English specification | | | |
| 147 | 2,520 | 147 | 2,520 | | | For filing a request for ex parte reexamination | | | |
| 112 | 920* | 112 | 920* | | | Requesting publication of SIR prior to Examiner action | | | |
| 113 | 1,840* | 113 | 1,840* | | | Requesting publication of SIR after Examiner action | | | |
| 115 | 110 | 215 | 55 | | | Extension for reply within first month | | | |
| 116 | 390 | 216 | 195 | | | Extension for reply within second month | | | |
| 117 | 890 | 217 | 445 | | | Extension for reply within third month | | | |
| 118 | 1,390 | 218 | 695 | | | Extension for reply within fourth month | | | |
| 128 | 1,890 | 228 | 945 | | | Extension for reply within fifth month | | | |
| 119 | 310 | 219 | 155 | | | Notice of Appeal | | | |
| 120 | 310 | 220 | 155 | | | Filing a brief in support of an appeal | | | |
| 121 | 270 | 221 | 135 | | | Request for oral hearing | | | |
| 138 | 1,510 | 138 | 1,510 | | | Petition to institute a public use proceeding | | | |
| 140 | 110 | 240 | 55 | | | Petition to revive - unavoidable | | | |
| 141 | 1,240 | 241 | 620 | | | Petition to revive - unintentional | | | |
| 142 | 1,240 | 242 | 620 | | | Utility issue fee (or reissue) | | | |
| 143 | 440 | 243 | 220 | | | Design issue fee | | | |
| 144 | 600 | 244 | 300 | | | Plant issue fee | | | |
| 122 | 130 | 122 | 130 | | | Petitions to the Commissioner | | | |
| 123 | 50 | 123 | 50 | | | Processing fee under 37 CFR 1.17(q) | | | |
| 126 | 180 | 126 | 180 | | | Submission of Information Disclosure Stmt | | | |
| 581 | 40 | 581 | 40 | | | Recording each patent assignment per property (times number of properties) | | | 40.00 |
| 146 | 710 | 246 | 355 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 149 | 710 | 249 | 355 | | | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 179 | 710 | 279 | 355 | | | Request for Continued Examination (RCE) | | | |
| 169 | 900 | 169 | 900 | | | Request for expedited examination of a design application | | | |
| Other fee (specify) _____ | | | | | | | | | |
| SUBTOTAL (3) | | | | | | | | | (\$ 40.00 |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) **Peter J. Thoma**

Registration No. **28,121**
(Attorney/Agent)

Complete (if applicable)

Telephone **972-479-0462**

Signature

Peter J. Thoma

Date **11/27/01**

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